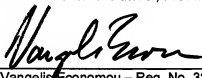


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: RAMAKRISHNA, Venkata Satya Nirogi, et al.
Appl. No.: 10/539,262
Filed: November 13, 2006
Title: TETRACYCLIC 3-SUBSTITUTED INDOLES HAVING
SEROTONIN RECEPTOR AFFINITY
Grp./A.U.: 1626
Examiner: SHAMEEM, Golam M.
Docket No. SUB 0007 US
Confirm No. 9286

Certification under 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being transmitted by uploading on the USPTO E-file system (e-file registered user) to the United States Patent and Trademark Office website, to the attention of and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 21, 2009.



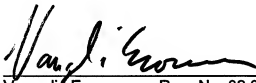
Vangelis Economou - Reg. No. 32,341

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION

Sir:

The information disclosure statement submitted herewith is being filed before the mailing date of the first Office Action on the merits. 37 CFR 1.97(b).



Vangelis Economou -Reg. No. 32,341
IPHorgan, Ltd.
1130 Lake Cook Road, Suite 240
Buffalo Grove, IL 60089
Tel. No. (847) 808-5500

April 21, 2009

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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants submit herewith a listing of several U.S. patents, a PCT International WIPO publication and several articles, of which the applicants are aware, and which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

This statement is believed to be in conformance with the provisions of 37 CFR 1.97 and 1.98, including submission of the enclosed full text copies of pertinent portions of the non-US patent references. Completed forms PTO/SB/08a and PTO/SB/08b, "Information Disclosure Statement by Applicant" are enclosed, listing the cited documents and references to provide means for an indication that they have been considered by the Examiner. A copy of the International Search Report in the corresponding priority document PCT/IN0300393 is also attached hereto.

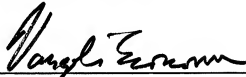
Additional comments, if any, on the relevance of each reference listed are provided as follows:

All references cited and attached are in the English language.

The Statement is made on the basis of the information:

| | |
|---------------|--|
| <u>X</u> | supplied by the inventor(s); |
| <u> </u> | supplied by an individual associated with the filing and |
| <u> </u> | prosecution of this application (37 CFR 1.56(c)); |
| <u> </u> | in the attorney's file; or |
| <u>X</u> | cited in a corresponding PCT application. |

Respectfully submitted,



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4/20/09
Date